



# K I C METALIKS LIMITED

"Sir R. N. M. House", 3B, Lal Bazar Street,  
4th Floor, Room No. 2, Kolkata – 700 001  
Phone : +91-33-2210 3301  
Fax : +91-33-4001 9636

Dated: June 30, 2021

To,  
Bombay Stock Exchange Limited  
(Department of Corporate Services)  
Phiroze Jeejeebhoy Towers,  
25<sup>th</sup> Floor, Dalal Street,  
Mumbai – 400 001

**Company scrip code – 513693**

Dear Sir/Madam,

**Sub : Secretarial Compliance Report of the Company as per Regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Amendment) Regulations, 2018 for the financial year ending March 31, 2021**

Please find enclosed herewith the Secretarial Compliance Report of the Company duly audited and signed by the Secretarial Auditor of the Company, in terms of Regulation 24A of the Securities and Exchange Board of India( Listing Obligations and Disclosure Requirements) (Amendment) Regulations, 2018 for the financial year ending March 31, 2021.

Thanking you

Yours faithfully,

For, KIC METALIKS LTD  
  
Company Secretary  


**CIN : L01409WB1986PLC041169**

Factory: Village - Raturia, Angadpur, Durgapur - 713 215 (District: Burdwan) West Bengal, India  
Phone : +91 987 494 3345, Email: info@kicmetaliks.com, Website: www.kicmetaliks.com

**Building Name** : Vivek Vihar, Phase III  
**Street Address** : 493/C/A, G.T. Road(South)  
**Floor** : 2nd Floor, Office no: 2C  
**City** : Howrah  
**Pincode** : 711102  
**Mobile** : 9874778029  
**E-mail Id** : bglahoti@gmail.com



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**SECRETARIAL COMPLIANCE REPORT**  
**OF KIC METALIKS LIMITED**  
**for the year ended 31ST MARCH 2021**

I, Beni Gopal Lahoti, Proprietor of M/s B G Lahoti & Associates, Practicing Company Secretaries, have examined:

- (a) All the documents and records made available to us and explanation provided by **M/S KIC METALIKS LIMITED (the listed entity)**.
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended **31<sup>ST</sup> MARCH 2021 ("Review Period")** in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI"); \*NA

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; \*NA
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; (disclosures have been made wherever necessary)
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; \*NA\*
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; \*NA\*





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- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; \*NA\*
  - (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; \*NA\*
  - (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
  - (i) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client.
  - (j) (other regulations as applicable) and circulars/ guidelines issued thereunder; as per the representation made by the Company, its officer and authorized representatives no such other regulations are applicable for which filing is pending with SEBI.
- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder,

Sr.No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
NIL	NIL	NIL	NIL

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1	SEBI	Under Regulation 17(1) and 18(1) of SEBI (LODR) Regulations, 2015	Non-compliance with the requirements pertaining to the composition of the	Amount of Penalty paid by the Company Rs. 11,65,840/-.





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			Board including failure to appoint woman director and constitution of audit committee	
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(d)The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in The previous reports	Observations made in the secretarial compliance report for the year ended... <i>(The years are to be mentioned)</i>	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
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No action taken against the Listed Entity / its Promoters / Directors / Material Subsidiaries

**NOTE:** "Physical verification of documents could not be done due to the lockdown on account of COVID-19 situation."



Place: Howrah  
Date: 23.06.2021  
UDIN: A037056C000504952

FOR B G LAHOTI & ASSOCIATES  
Company Secretary in Practice

(B.G.LAHOTI)  
Proprietor  
C.P. No: 14749